



# CUAD: Constellation for Upper Atmosphere Dynamics

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**GSFC, Greenbelt, MD  
December 1, 2017**

GATS Founded 1986

Upper atmosphere dynamics research

Planetary, GW, Tides

Turbulence

Upper Atmosphere Sensors

Conceptual design

Performance analysis

Data inversion

Instrument operations

On-board processing

Radar, LIDAR, Radiometers

Ground based

In-orbit

Occultation

Limb emission

Nadir emission

Instrument operations

Data Processing systems

IR radiative transfer modeling

GHG sensor design

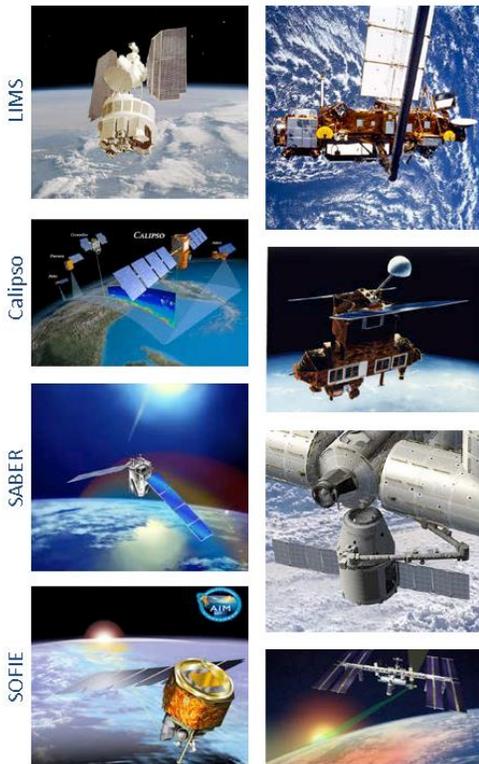
Gas filtering and correlation expertise

# GATS

## Global Atmospheric Technologies and Sciences

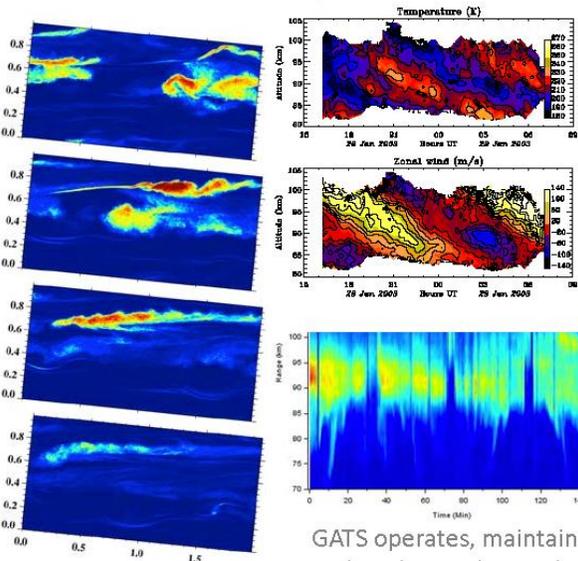


### Satellite Remote Sensing



GATS has provided instrument design, flight operations, science analysis, retrieval algorithms, data management and ground systems for NASA atmospheric science missions since 1986.

### Measurement and Modeling of the Earth's Atmosphere

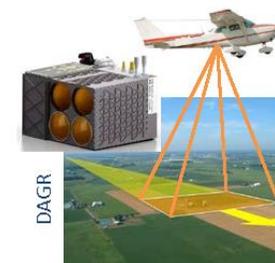


Our supercomputer simulations of turbulence help improve weather forecasts and predict energy consumption.

GATS has a portfolio of novel sensor concepts, for space (DWTS, HATS, TStar, and GLO), with patented processing techniques. Ground and air systems include various implementations of DAGR and PIGC. See our website:

GATS operates, maintains and analyzes advanced laser systems and radar stations that measure the processes influencing our weather and upper atmospheric dynamics.

### Environmental Monitoring Solutions



DAGR and PIGC are breakthrough commercial solutions for monitoring greenhouse gases and pollutants.



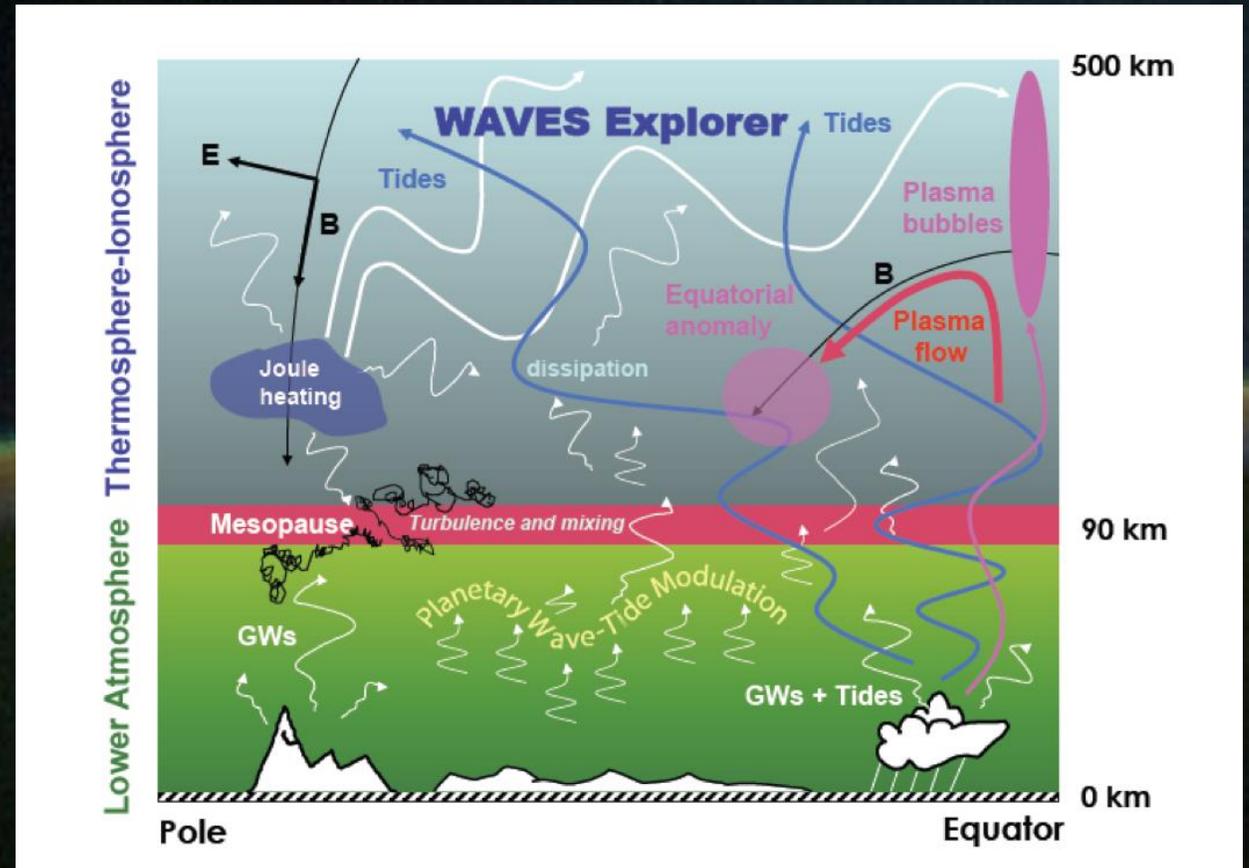
Our web-based modeling tools are used by researchers and teachers around the world.

[www.gats-inc.com](http://www.gats-inc.com)



# Dynamic coupling of lower to upper atmosphere is huge relatively unobserved

- Lower atmosphere dynamics impacts thin upper atmosphere
- Imprints weather signature into the upper atmosphere.
- Only now learning how to read the coupling language
- But, it is largely unobserved
- *THAT VOID CAN NOW BE ELIMINATED*



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GPI Gas Plume Imaging  
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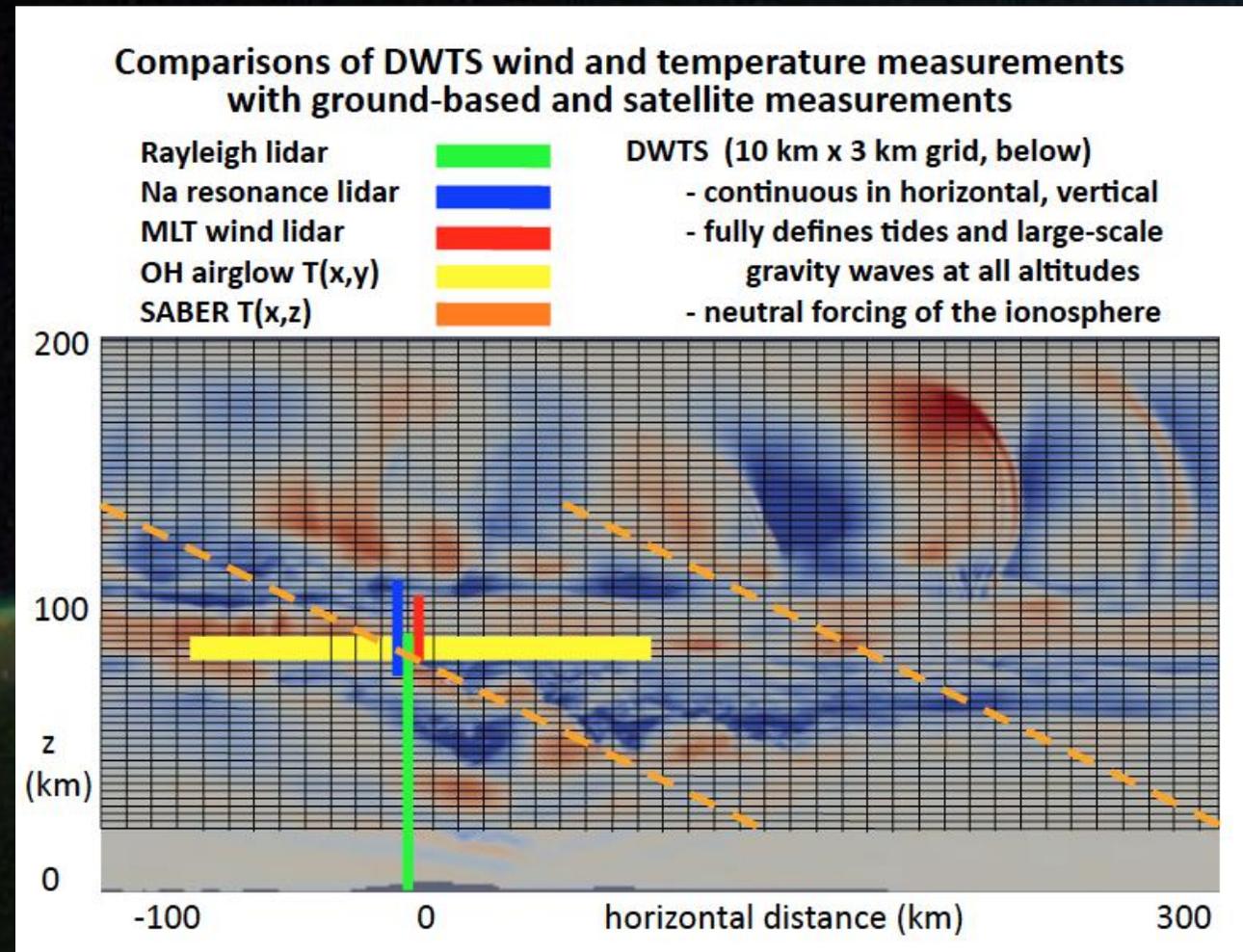
# Gravity wave effects generated by surface winds in the Andes

Zonal wind field near 50° south from gravity waves generated by winds over Argentine Mountains  
Surface winds ramp up over 1 hour, kept constant for the second hour.

Courtesy  
Tom Lund of  
NWRA



# Technique Comparisons

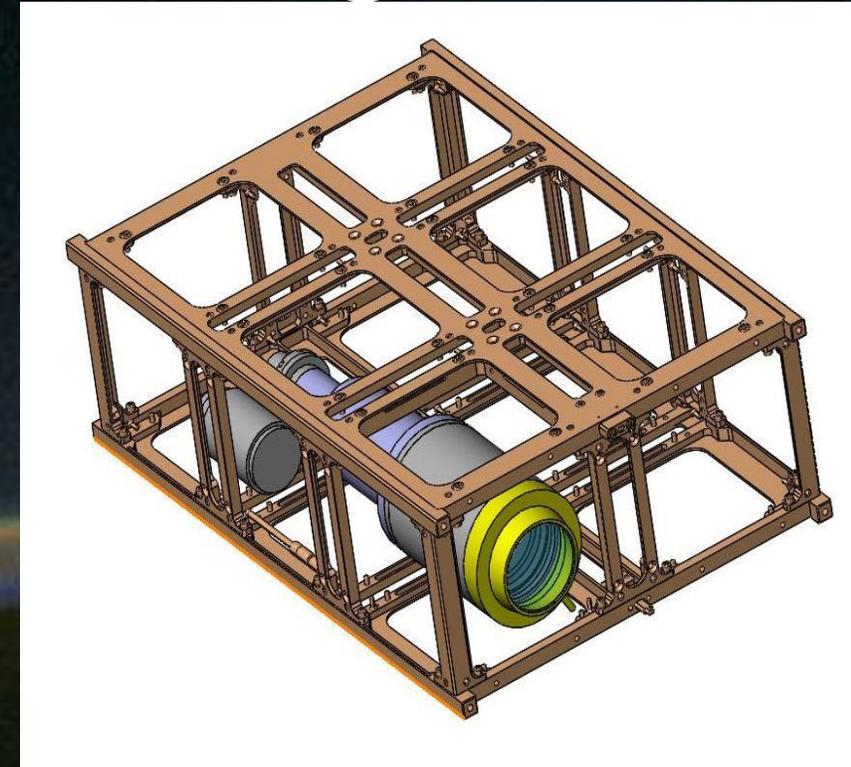


# A Path to Wind and Temperature from Cloud-Top to over 150 km, day *and* night <sup>6U<sub>U</sub></sup>

- **Now Possible** with four multi-image static sensors & DSGF (Doppler Scanning Gas Filters)

- **HATS** --- High Altitude Thermal Sounder
  - Nadir CO<sub>2</sub> thermal emission multi-imager (~ 7)
  - Doppler Scanning with Gas Filters (DSGF)
  - Limb look for gas cell and absolute calibration
- **DWTS** --- Doppler Wind and Temperature Sounder (limb)
  - Multi-imager (3) of limb emission
  - Wind and Temperature (no radiance calibration required)
- **LCER** --- Broadband CO<sub>2</sub> limb emission imager
  - Temperature profiles possible without absolute calibration
  - Also provides CO<sub>2</sub> fields
- **TStar** --- Limb star tracker
  - Refraction based temperature profiles
  - Calibration anchor of entire CUAD system

**Elegant  
Simplicity**



HATS High Altitude Temperature Sounder  
DWTS Doppler Wind and Temperature Sounder  
LCER Limb CO<sub>2</sub> Emission Radiometer  
TStar Temperature from Star imaging



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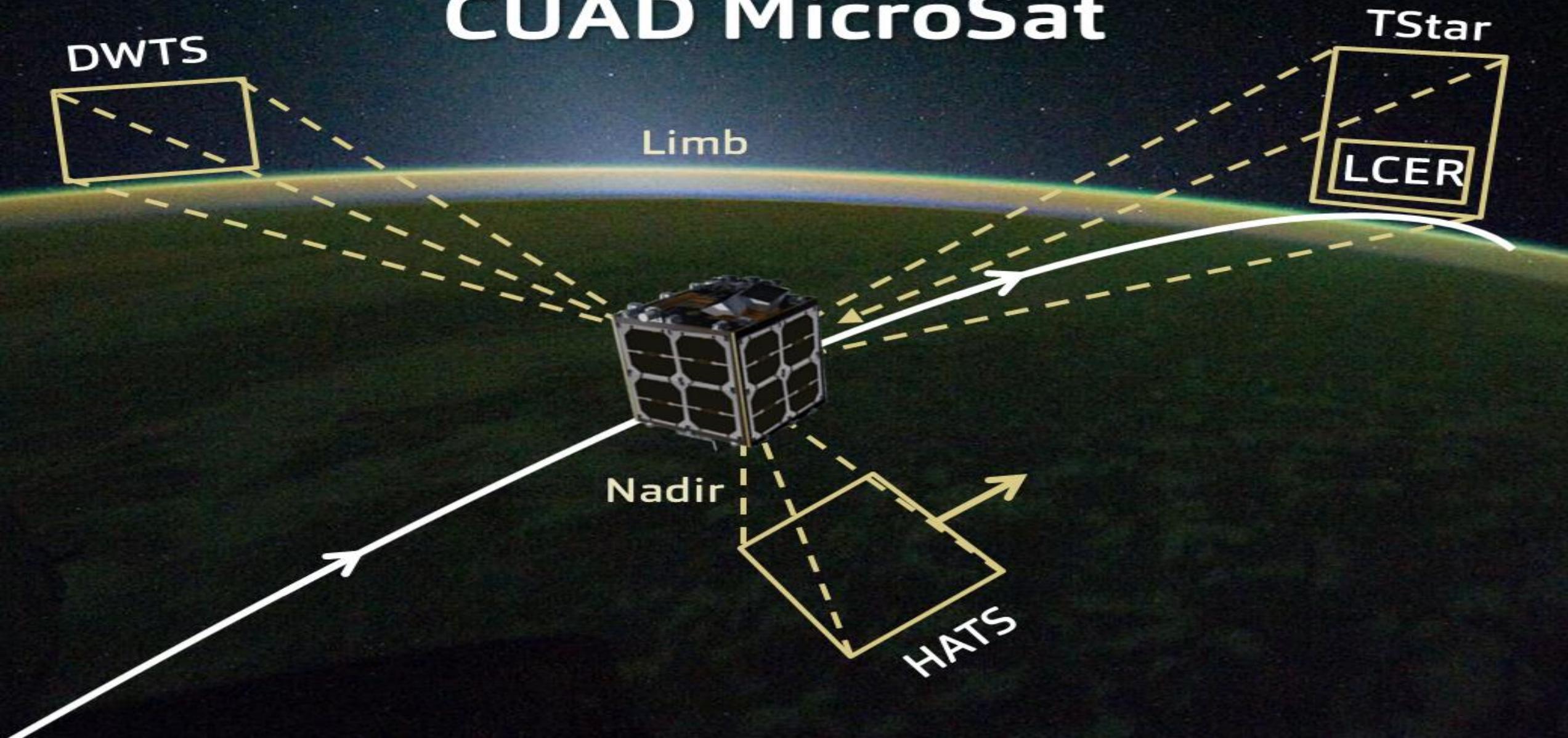
Gas Plume Imaging  
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# CUAD MicroSat



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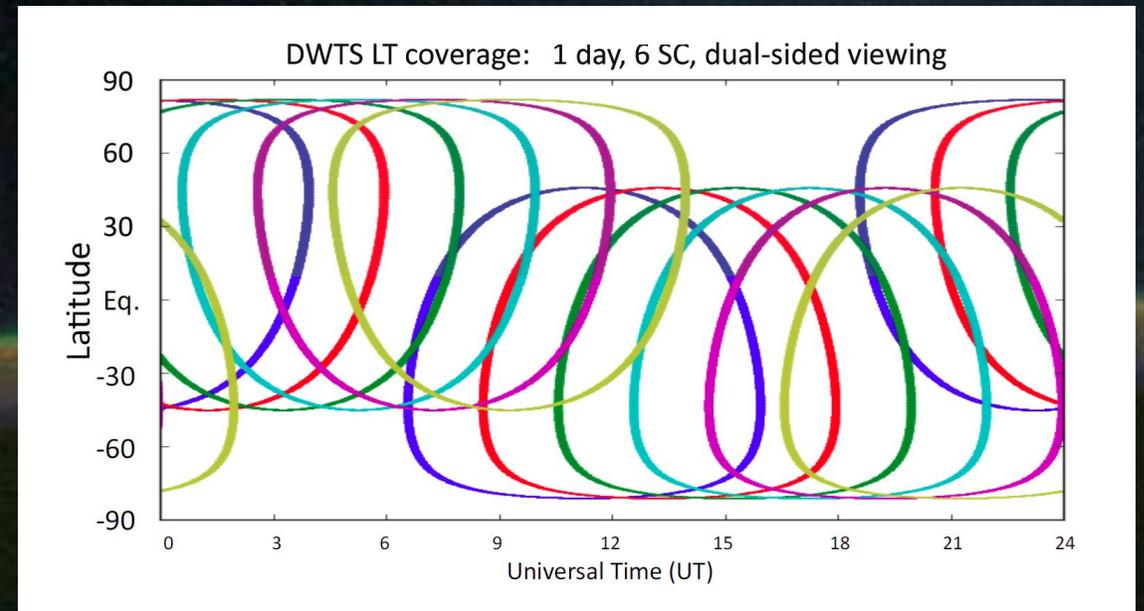
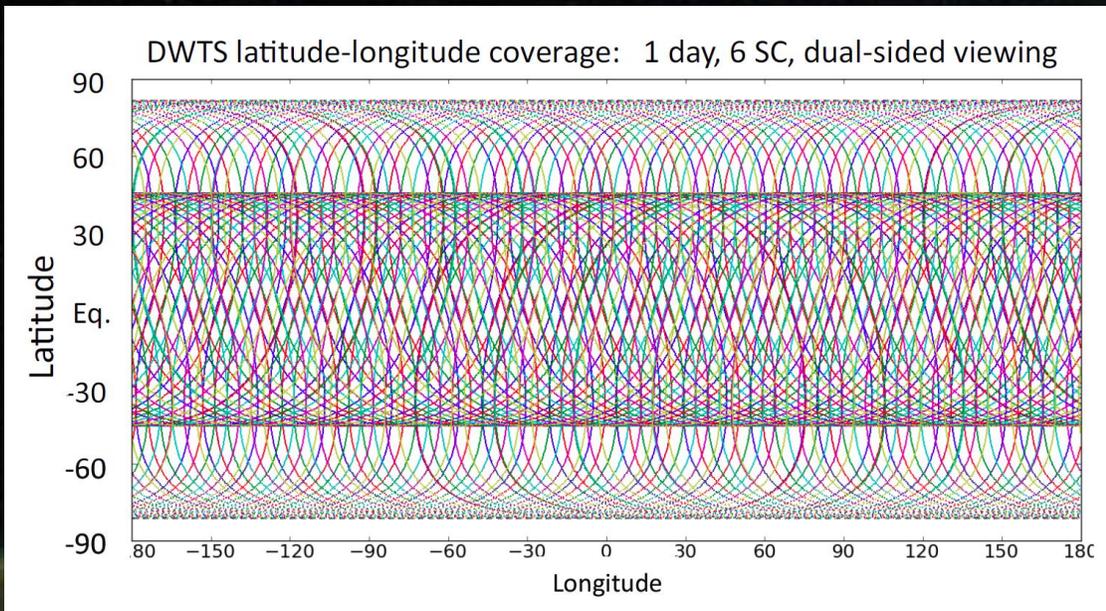


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# Global coverage

Dense coverage allows colocation for calibration objectives



DWTS daily lat-lon & LT sampling on 6 COSMIC-2 SC at 800 km and 72° inclination.



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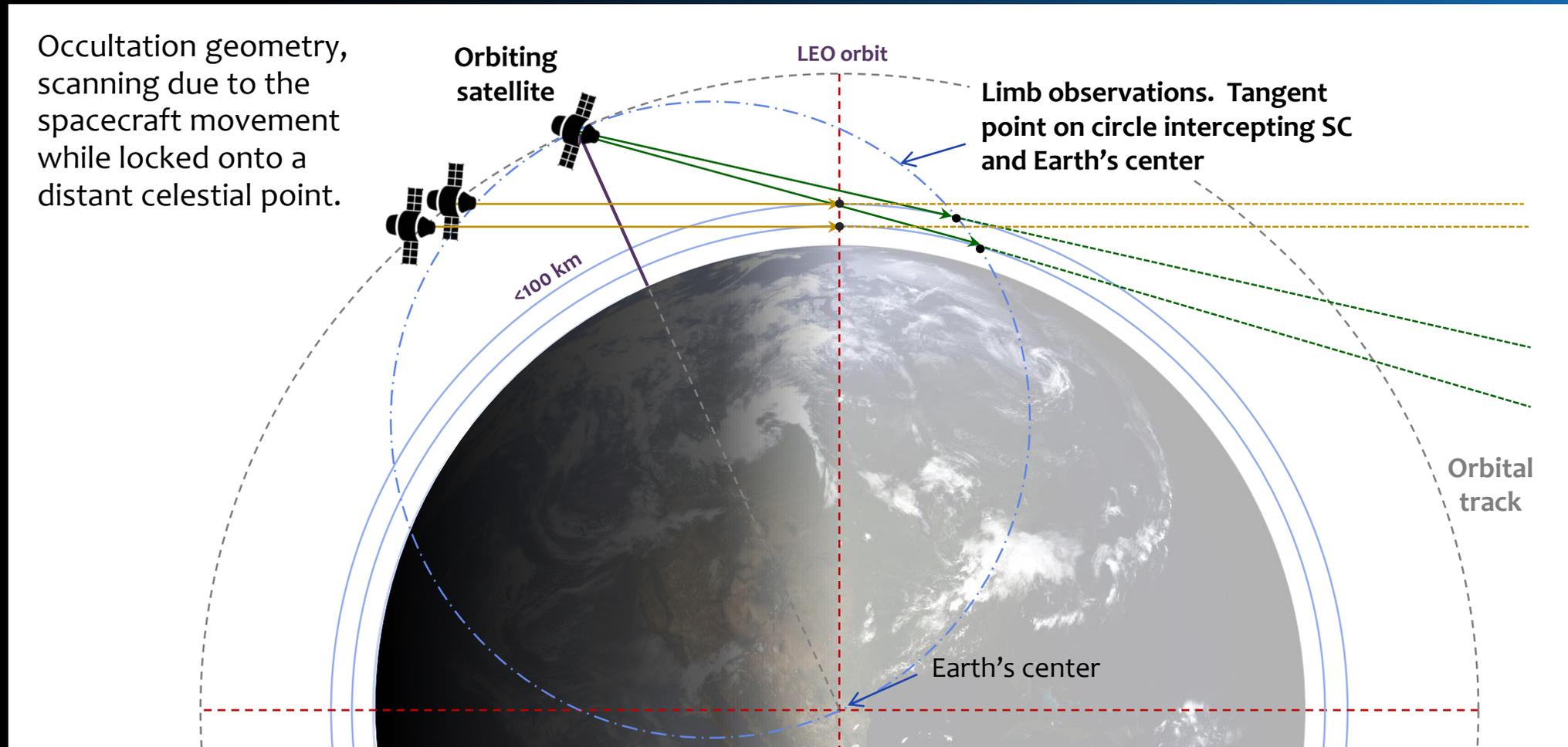
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# ADCS and GPS provides accurate measurement location, enabling critical synergy of sensors



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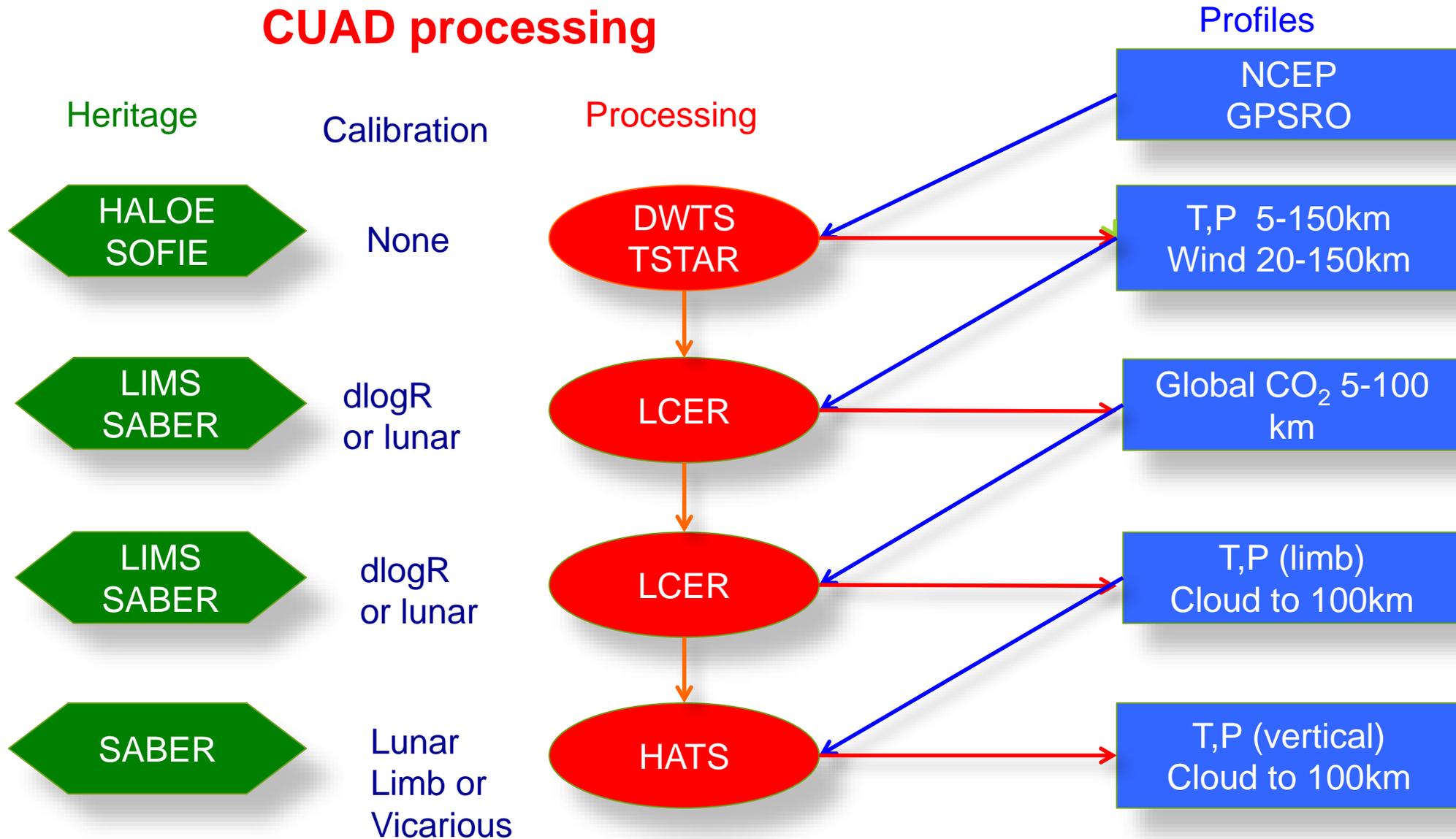


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NOAA, Silver Springs, MD

October 23, 2017

# CUAD processing



Other calibration

Absolute radiance  
Gas cell  
Stray light

---- lunar and vicarious  
---- limb Doppler  
---- lunar, limb, solar

# CUAD MicroSat

DWTS



Limb

TStar



Nadir



HATS



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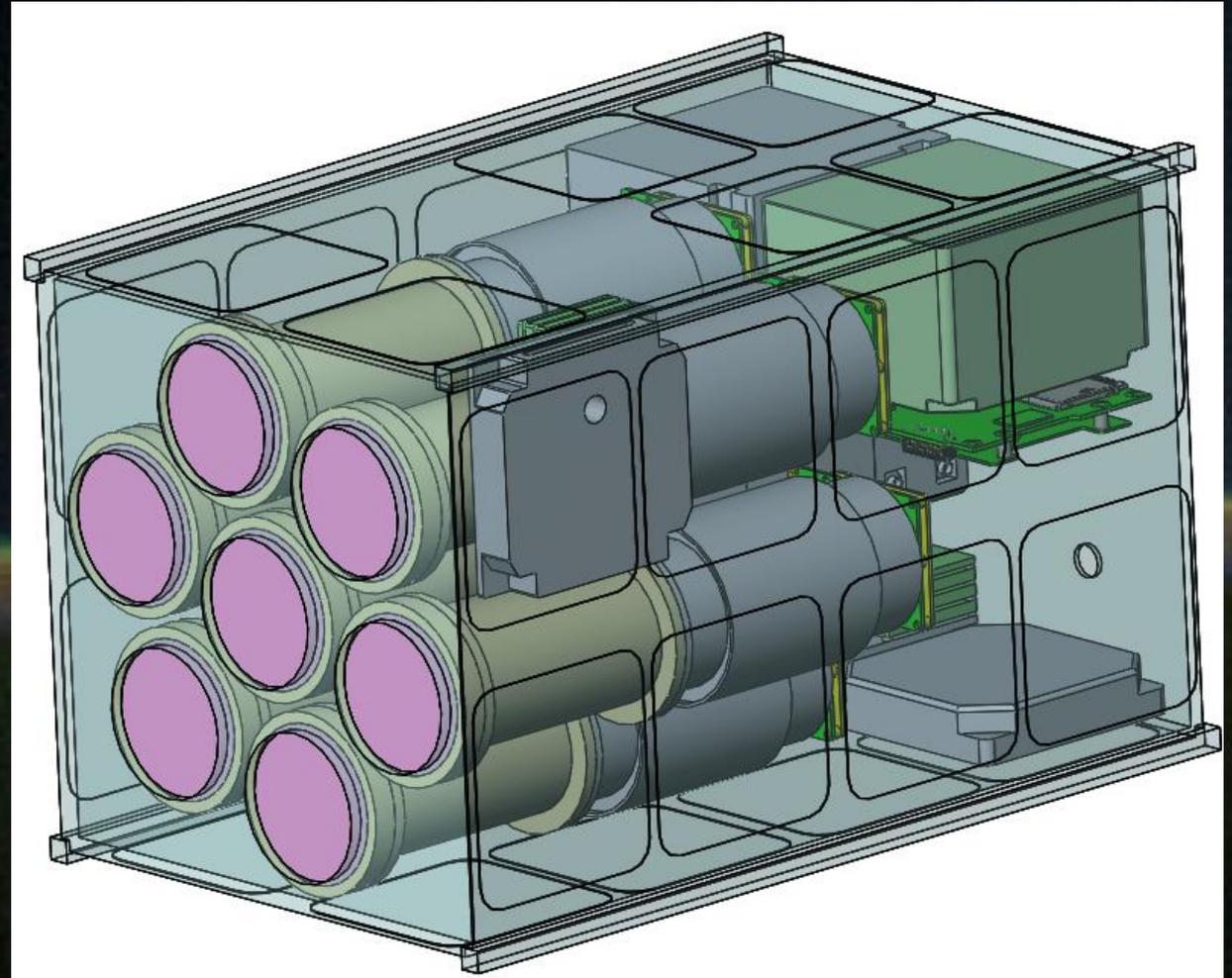
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# HATS Instrument Concept

16 U example (12U may be possible)  
7 Nadir viewing channels/sensors  
20 degree FOV  
Primary challenge is cooling



# HATS™ – High Altitude Thermal Sounder

- Averaging kernels resulting from 7 selected bands, each observed by a separate imager.
- Derived from principal component analysis of the 7 modulation functions produced during Doppler scans
- Potential 10-20 km horizontal resolution

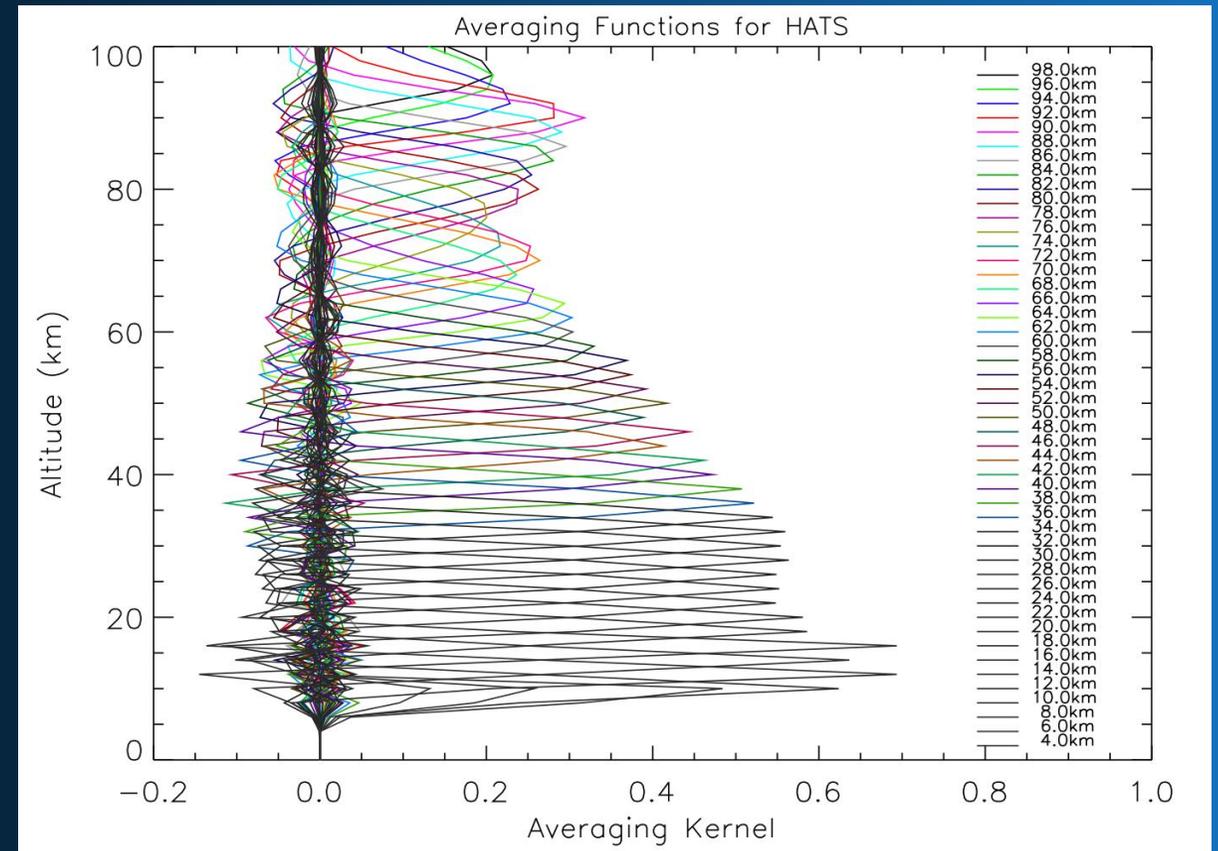
White Paper available

Concept first suggested by:

P. D. Curtis, J. T. Houghton, G. D. Peskett and C. D. Rodgers

*Proc. R. Soc. Lond. A* 1974 **337**, 135-150

doi: 10.1098/rspa.1974.0042



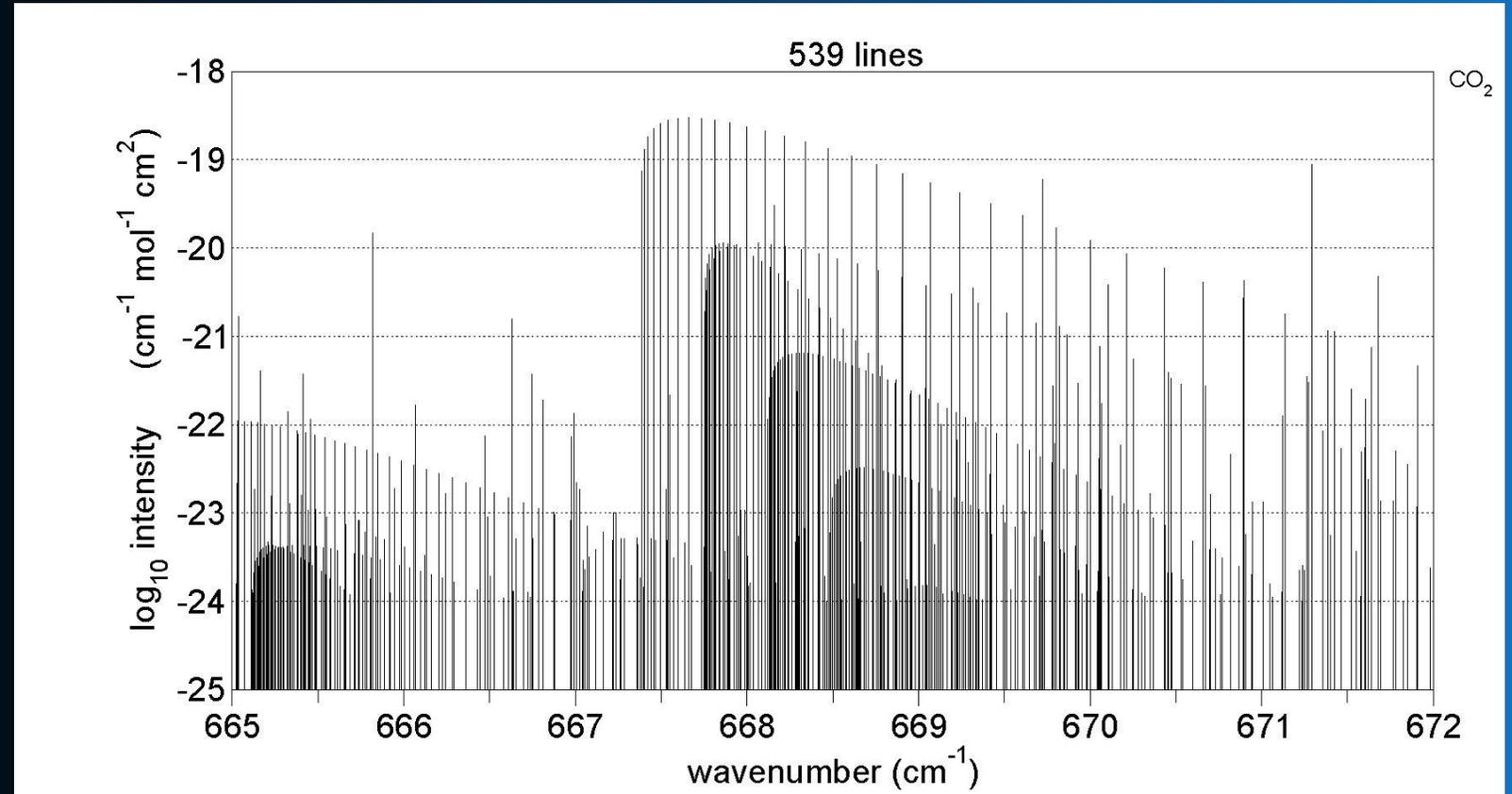
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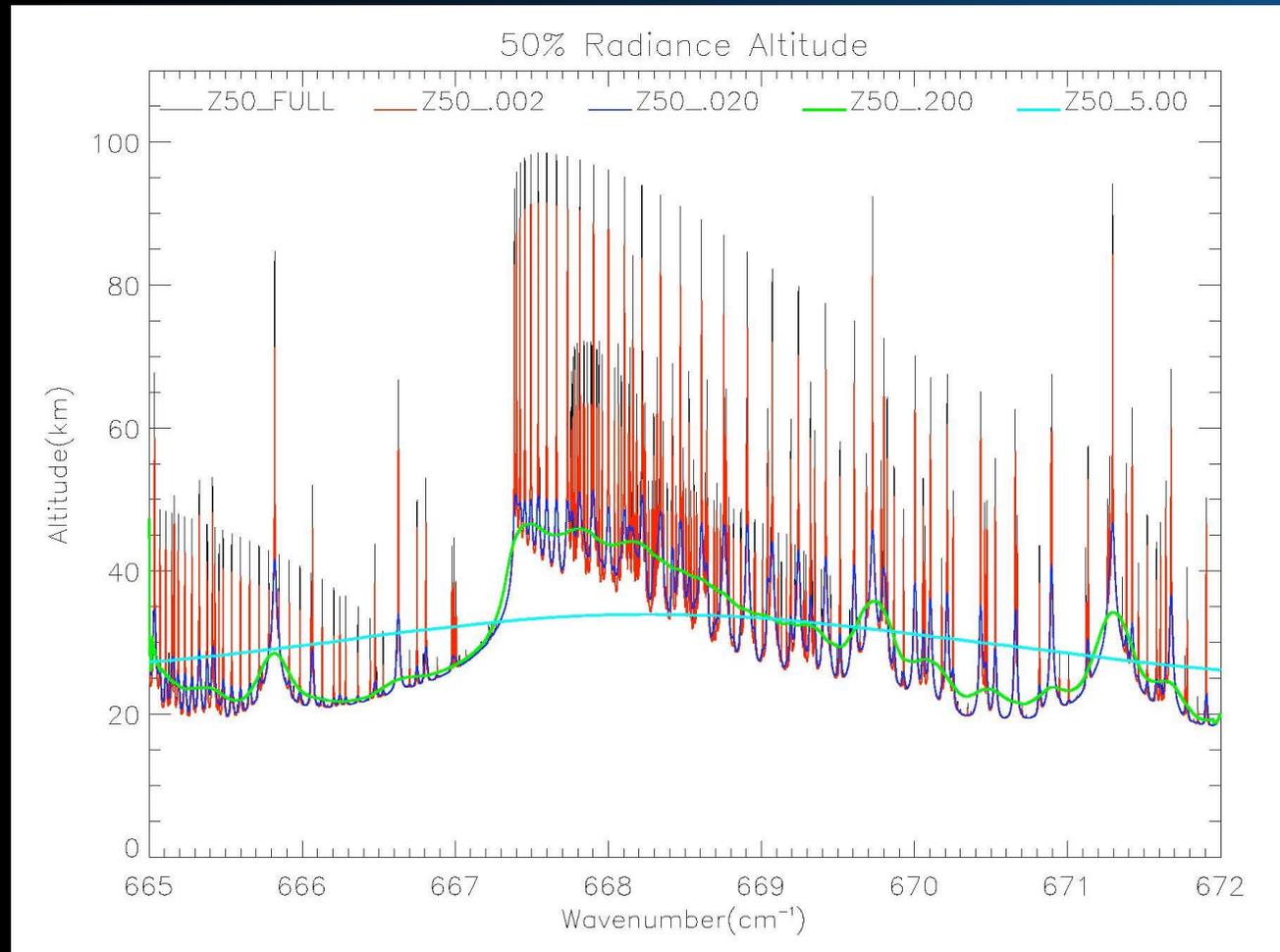


# Look down at CO<sub>2</sub> emission

- CO<sub>2</sub> near 15 μm
- Can we thermally sound?
- Yes, but it *requires very high resolution*
- Doppler scanning (DSGF) solves that problem



# Mean Altitude of Emission for various resolutions



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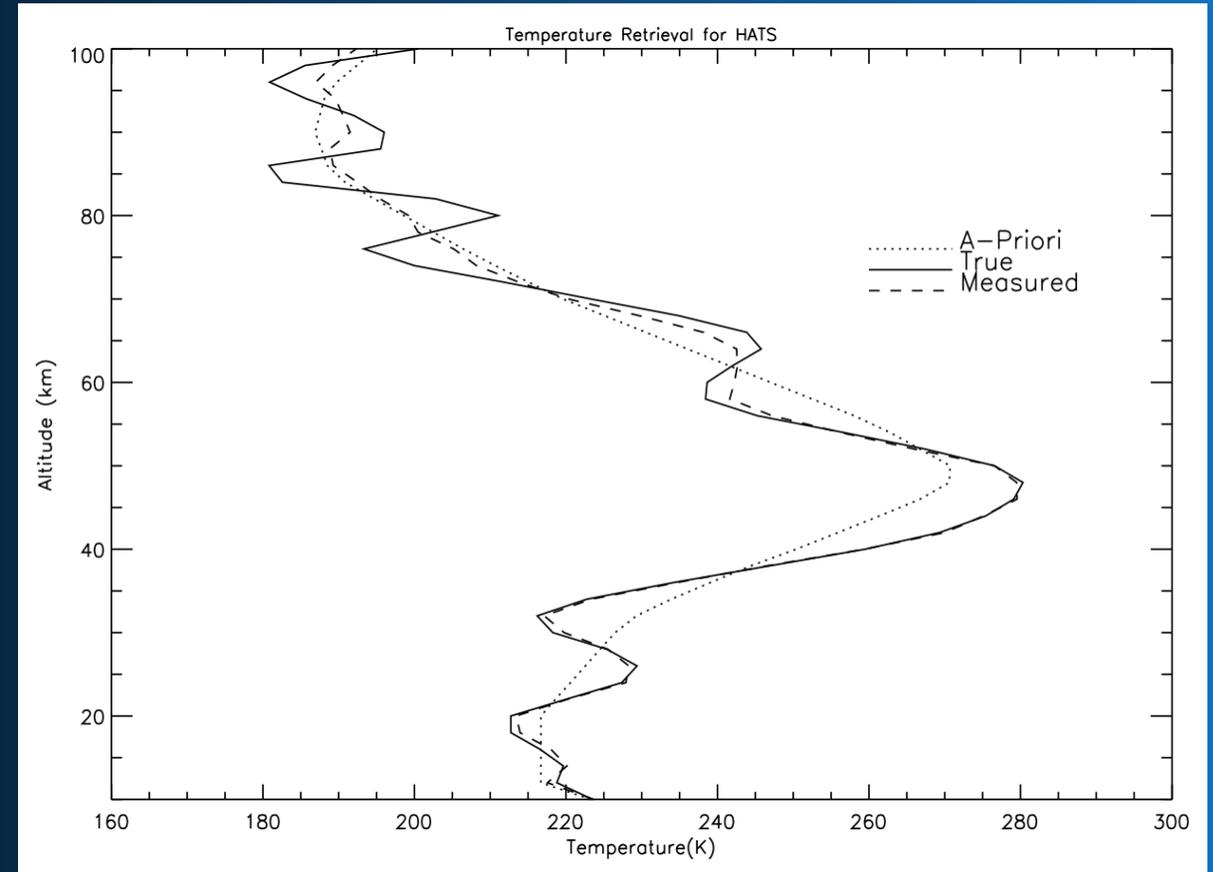
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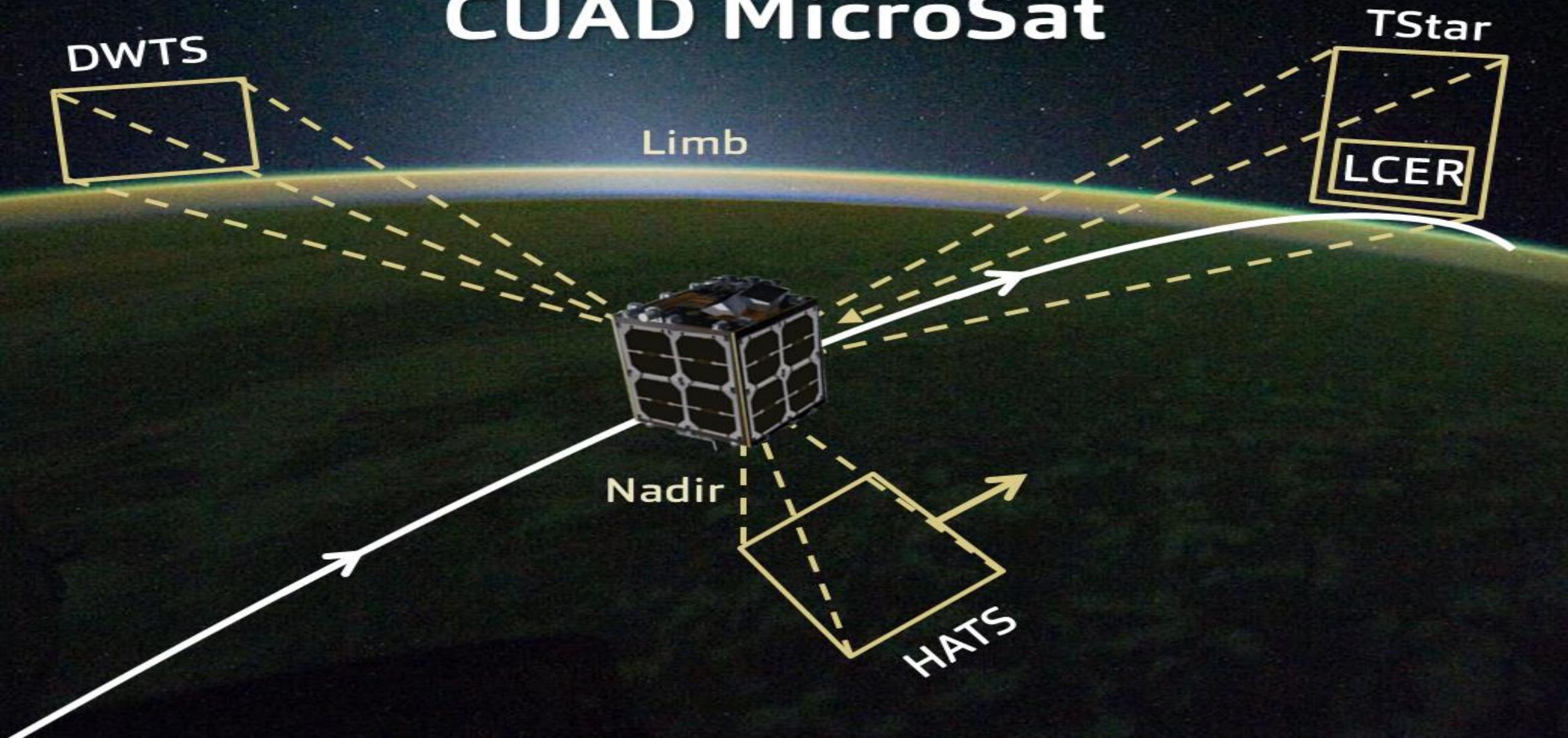
# HATS™ temperature retrieval

- The dotted is the a priori profile (the guess)
- The solid is the truth
- The dashed is the retrieved
- The optimal estimation technique uses the measurements to estimate the “differences” between the a priori guess and the truth

White paper on HATS is available on request.



# CUAD MicroSat



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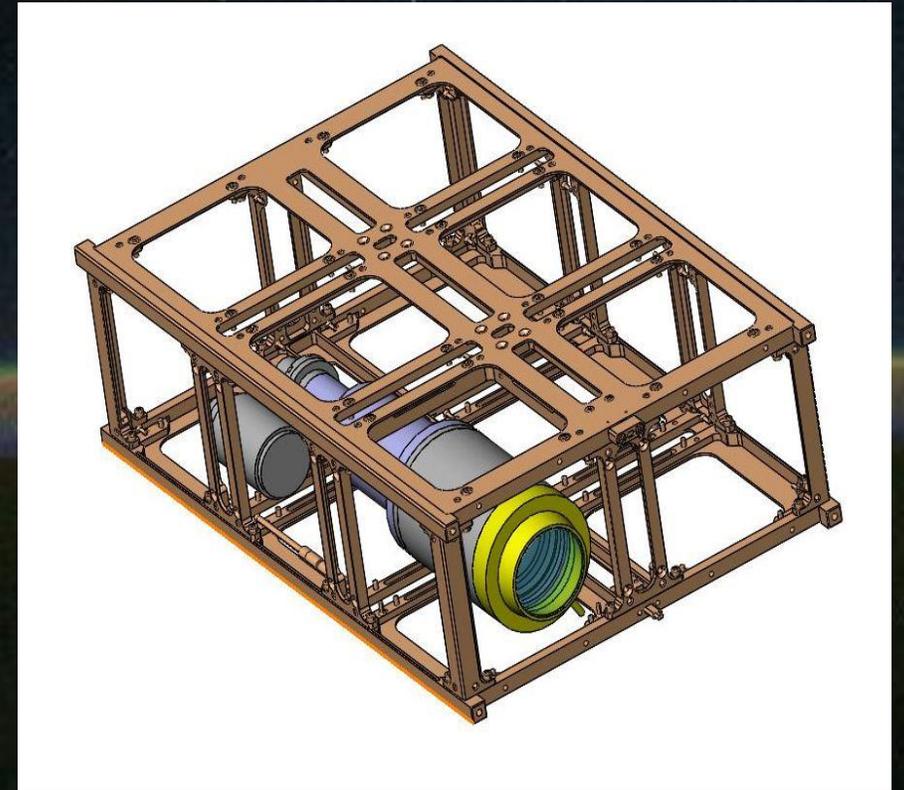
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# DWTS – Doppler Wind and Temperature Sounder

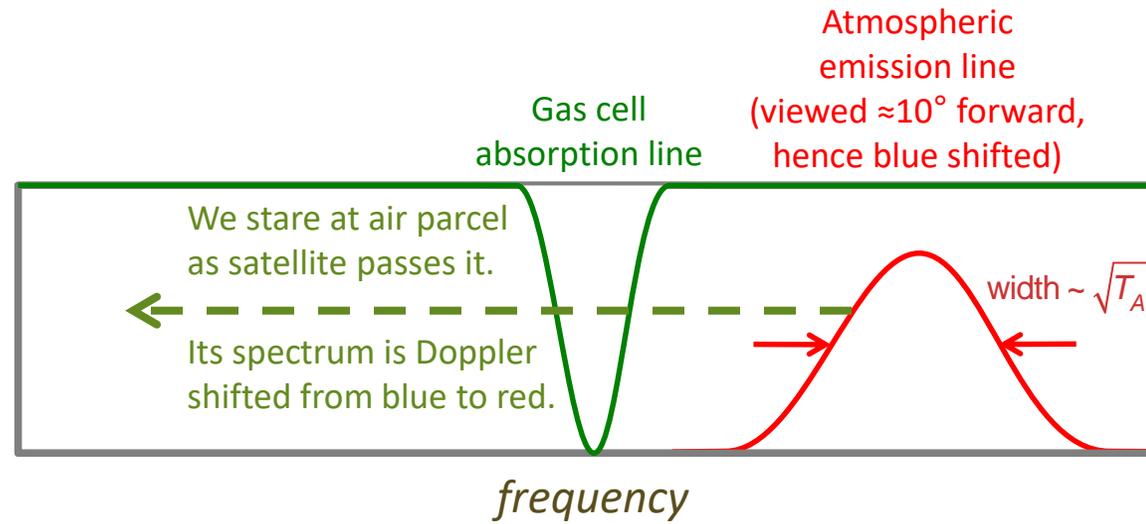
## *A new method of wind and temperature measurement*

- Simple static limb imager
- Hi-resolution spectral scanning using Doppler shift
- From low earth orbit, it looks through a gas cell at the limb, perpendicular to the velocity vector
- The gas cell acts as a filter that scans atmospheric spectra with the same gas cell spectra
- Three imagers could provide wind and temperature from cloud top to 200 km on 10 km along-track spacing, *day and night*

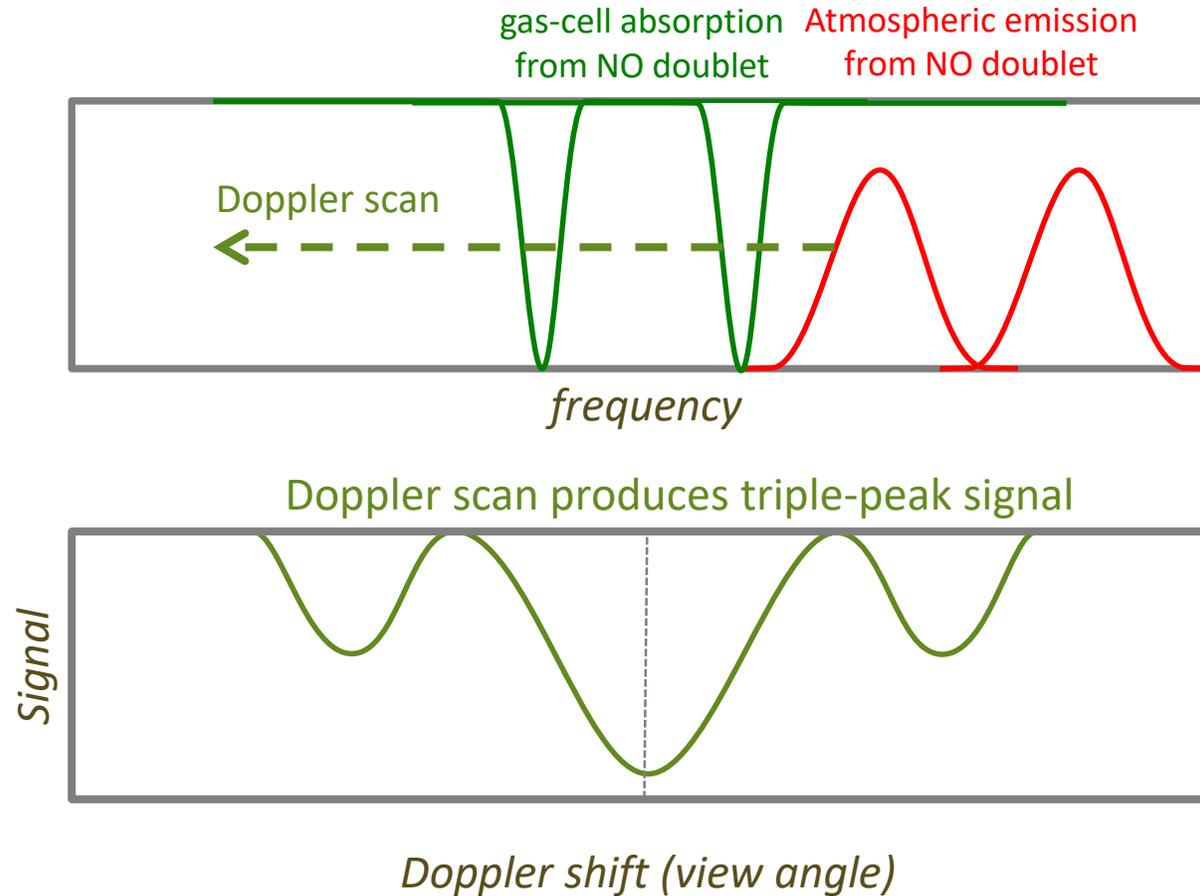
→ See DWTS tutorial at [www.gats-inc.com/future\\_missions.html](http://www.gats-inc.com/future_missions.html)

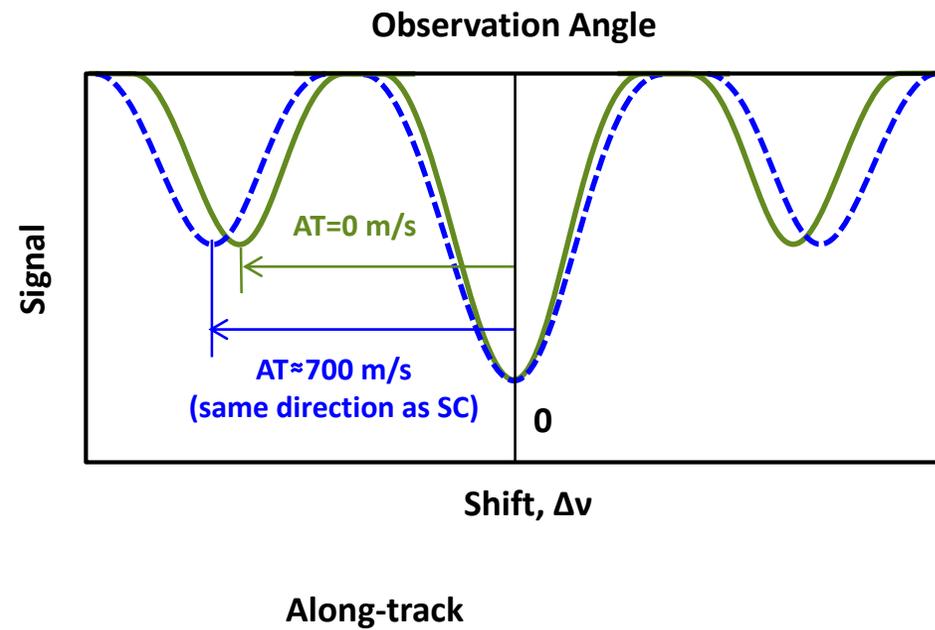
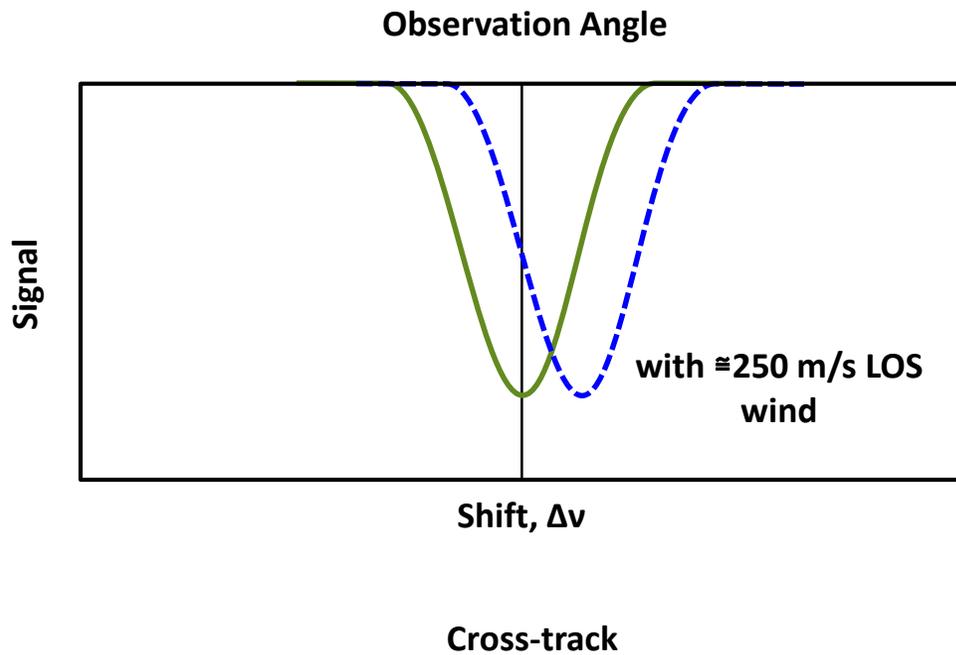


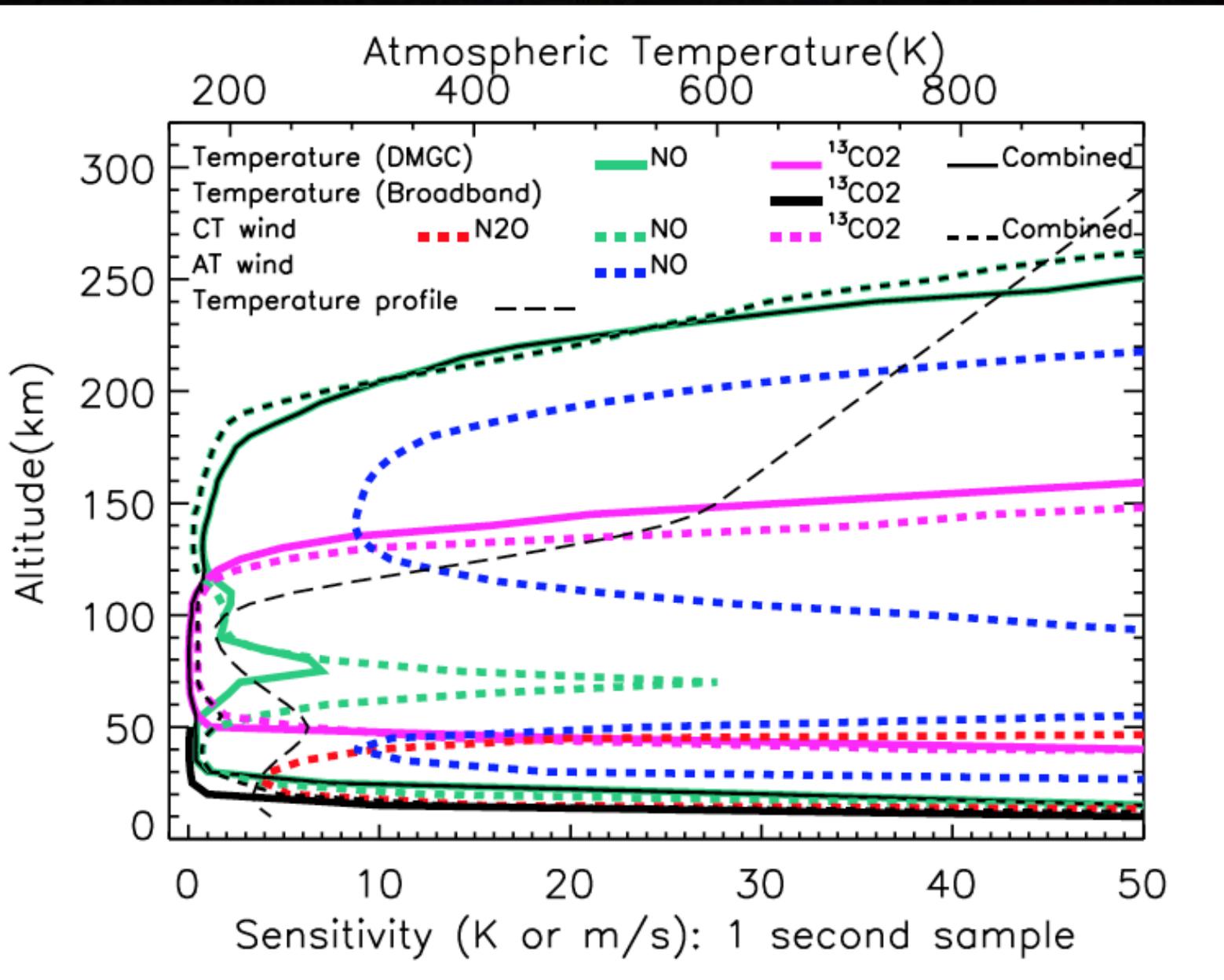
- **DWTS** One emission line example



- NO doublets produce multi-peak signal







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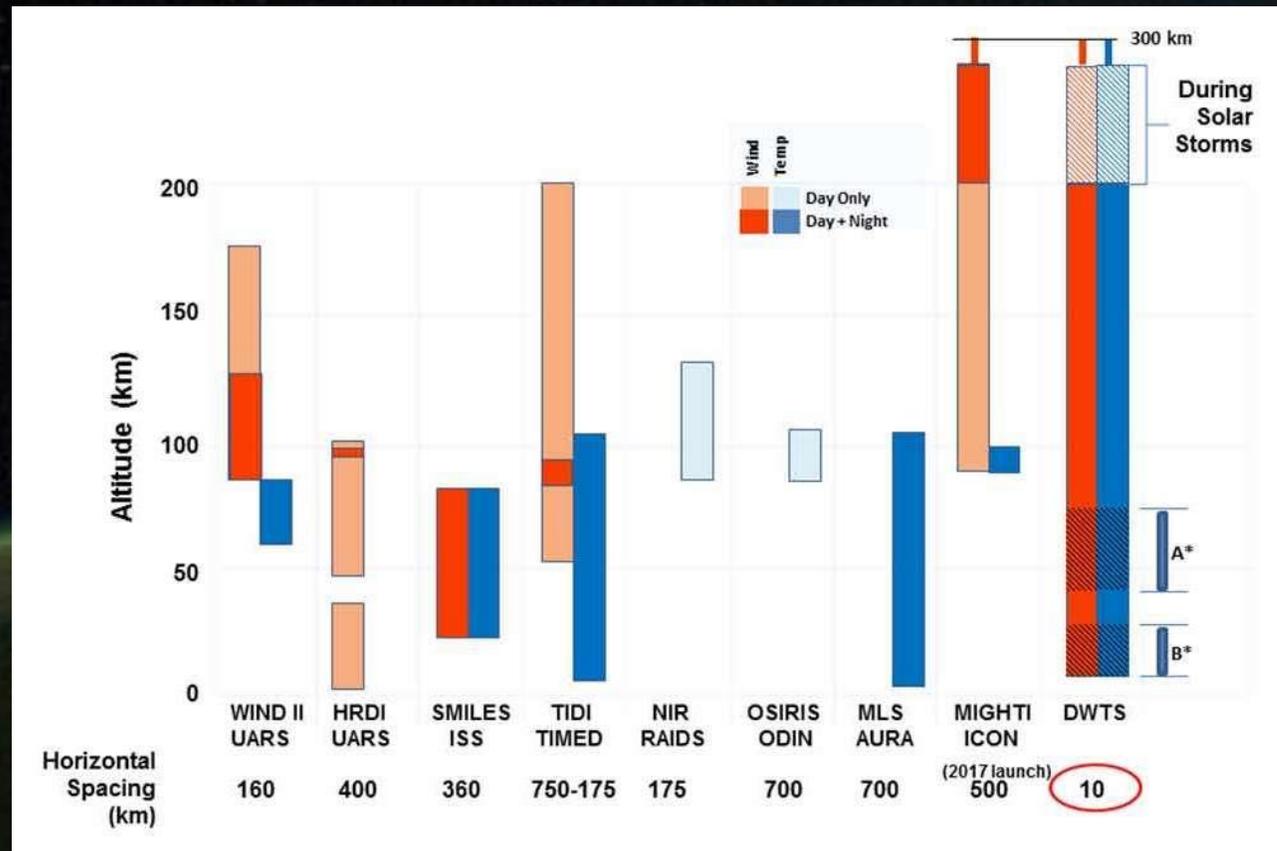


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# DWTS coverage

- Comparison to existing technology



Current measurements provide inadequate altitude, and day/night coverage

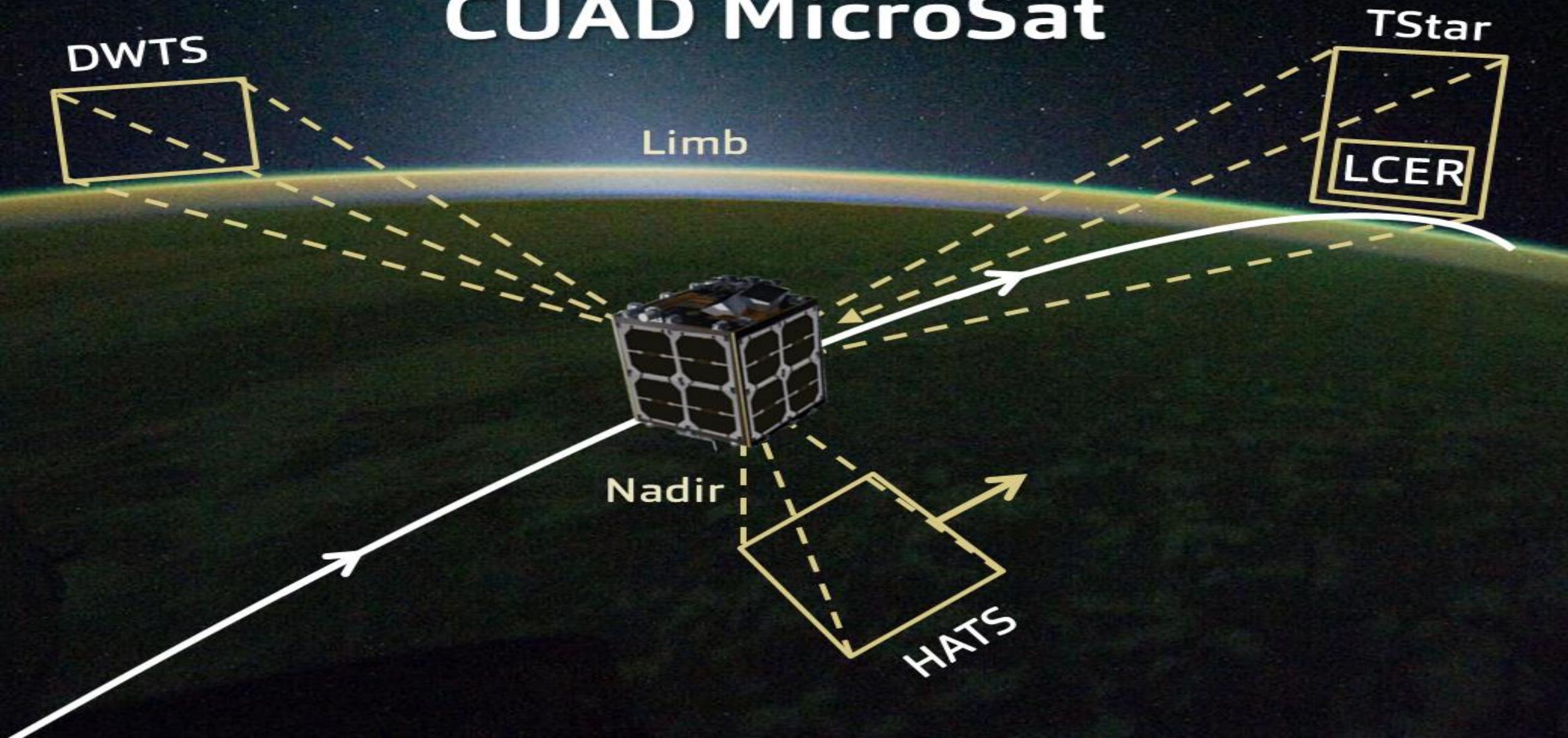
DWTS has an order of magnitude better horizontal resolution

\* Note that altitudes denoted by "A" or "B" would not be covered by a single channel (only NO) path finder instrument

# DWTS wind and temperature, recognized value and feasibility

- Better medium range weather prediction
  - Stratospheric specification after SSW (Sigmond 2013 Nature)
- Value to NAVGEM (pers. comm, Steve Eckermann, NRL Especially due to DMSP failures)
- Assimilation to 100+ km makes a difference.
- Endorsed by NOAA SWPC (Tim-Fuller Rowell, Valery Yudin), NCEP (Louis Uccellini)
- Private communication with:
  - Paul Hays (through Carl Nardell of Google), U of Michigan
  - Gordon Shepherd, York University
  - William Ward, University of New Brunswick
  - Chris Englert, NRL (MIGHTI PI)
  - others
- Independent sensitivity validation by BATC engineer

# CUAD MicroSat



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# Temperature profiles used for CO<sub>2</sub> calibration (Method used with **Tstar**)

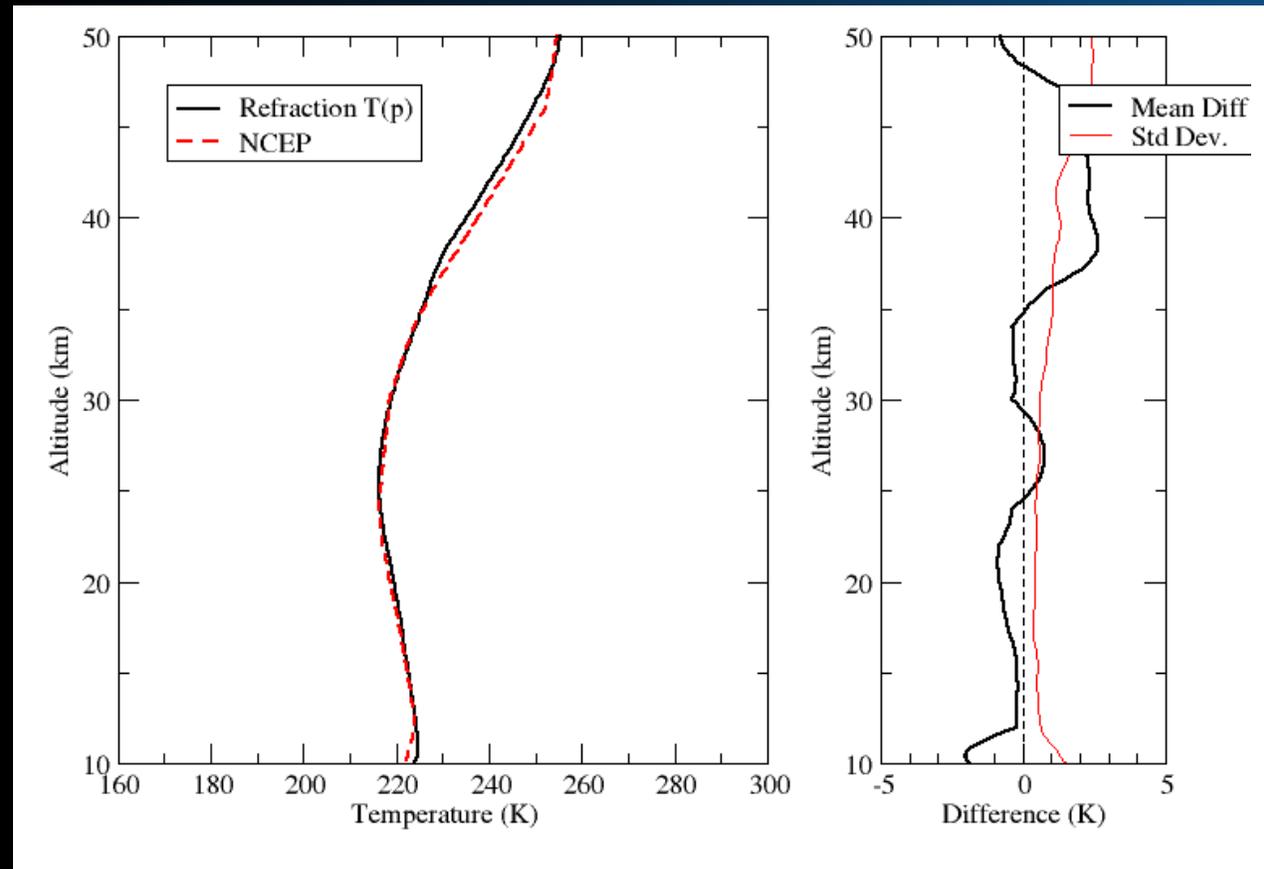
- Atmospheric refraction from vertical extent of distorted image
- Patented 2-point occultation method
- Can be accomplished with sun or moon or **star fields!**
- Requires very simple imager measuring in the visible spectrum
- Operational on SOFIE AIM

**Achieving 0.3 Kelvin accuracy**



# Statistical comparison of SOFIE temperature with NCEP

From edge tracking



2009-03-21 to 2009-03-26  
SS-81.5 75 events



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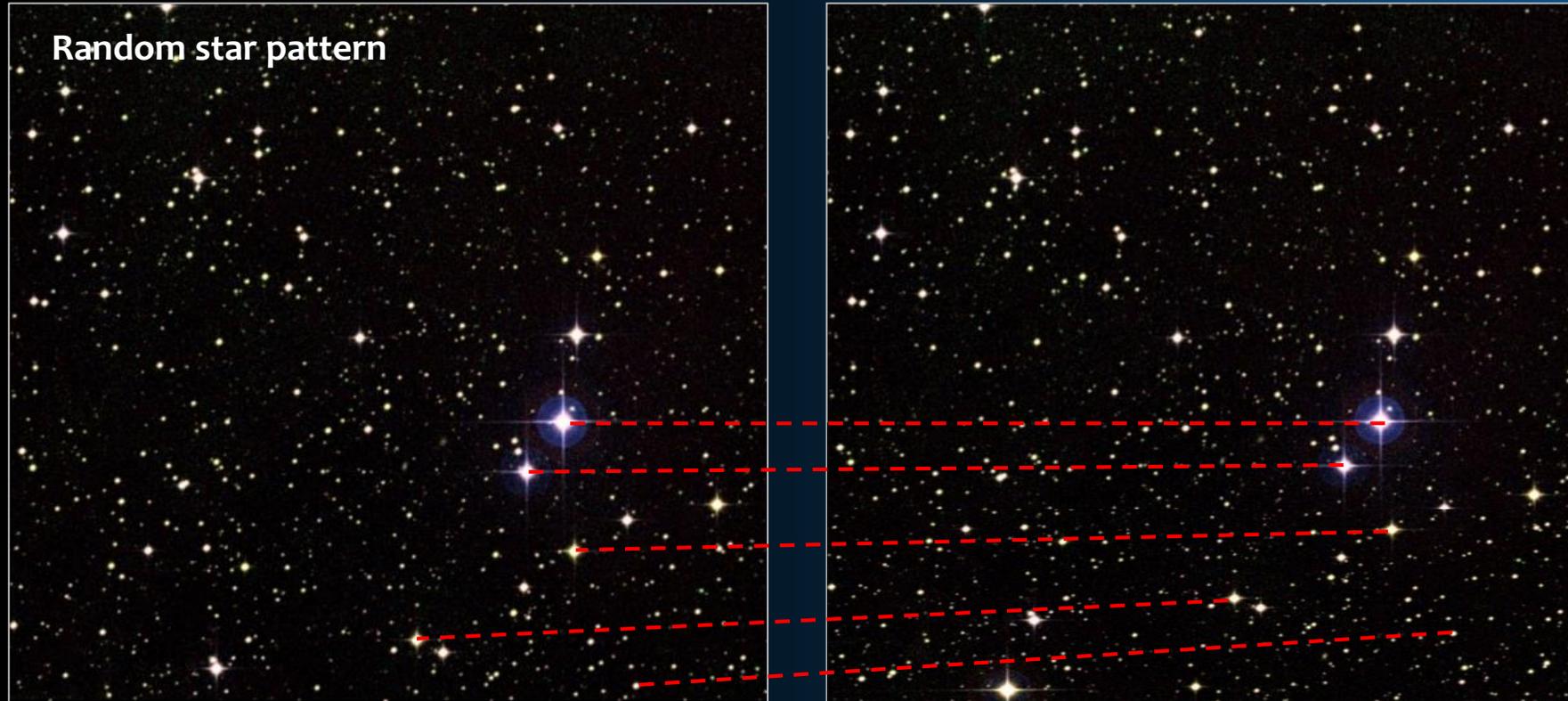
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# Refraction T from star field compression



Progressive deformation due to refractive index of stacked layers of atmosphere affecting apparent altitude

Field of view as would be seen without atmosphere

Field of view as seen with atmosphere



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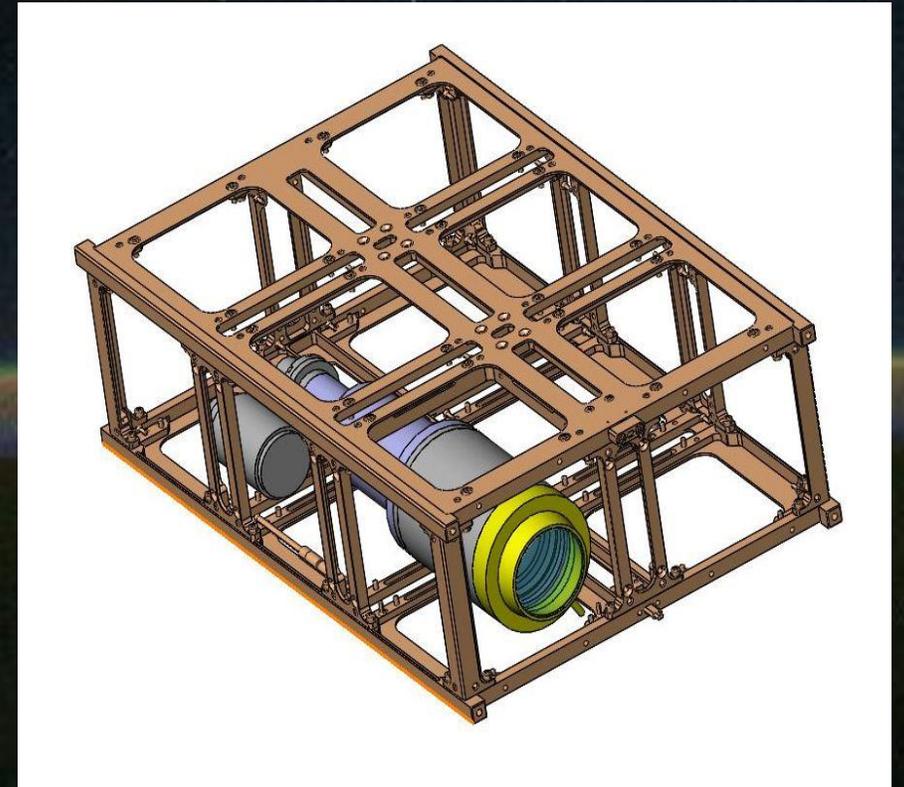


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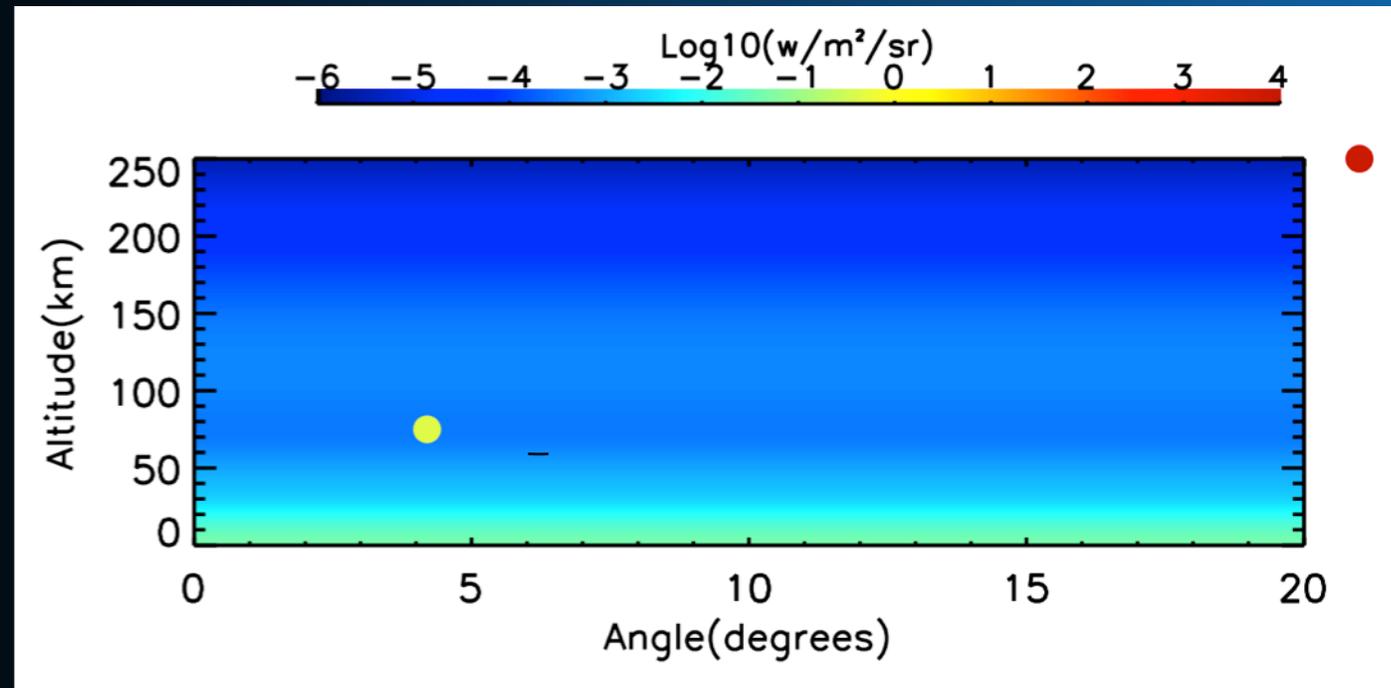
# LCER Limb CO<sub>2</sub> Emission Radiometer

- Simple static imager of broadband limb emission
- Uses CO<sub>2</sub> emission and CO<sub>2</sub> concentration to retrieve Temperature profiles.
- Uses CO<sub>2</sub> emission, and T/P profiles from DWTS and TSTAR, to retrieve CO<sub>2</sub>
- Temperature retrievals used to seed HATS retrievals
- Major challenge for limb imagers is stray light
  - Technology can now address that challenge



# Stray light problem is now solved, thanks to FPA, ADCS & AR technologies

- Limb radiance in the DWTS Nitric Oxide channel at 5.3  $\mu\text{m}$ 
  - Atmosphere with perfectly resolved moon and sun (no stray light or off-axis energy)
  - Moon is as bright as entire lower limb
  - FPA measures nearly entire source function
  - Limb typically changes 3–5 orders of magnitude



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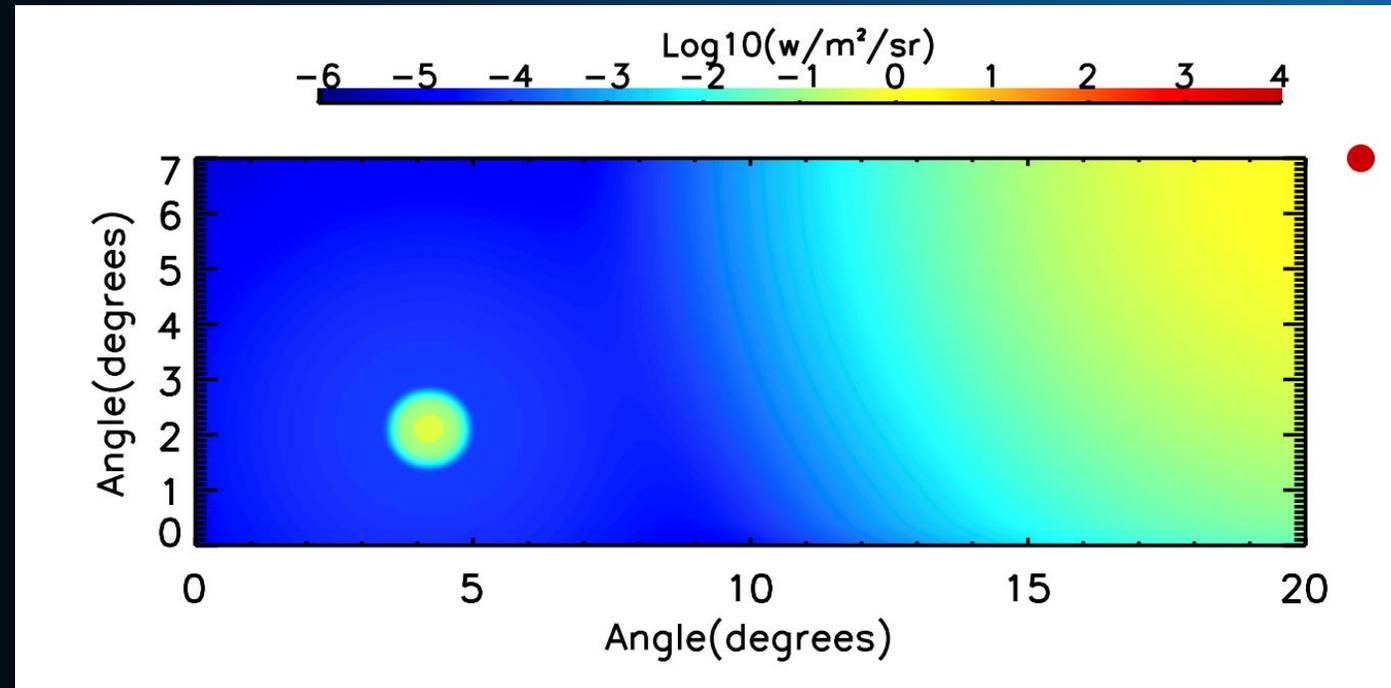
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# Space view – With stray light

- Nearly perfect for calibrating BRDF and glare effects



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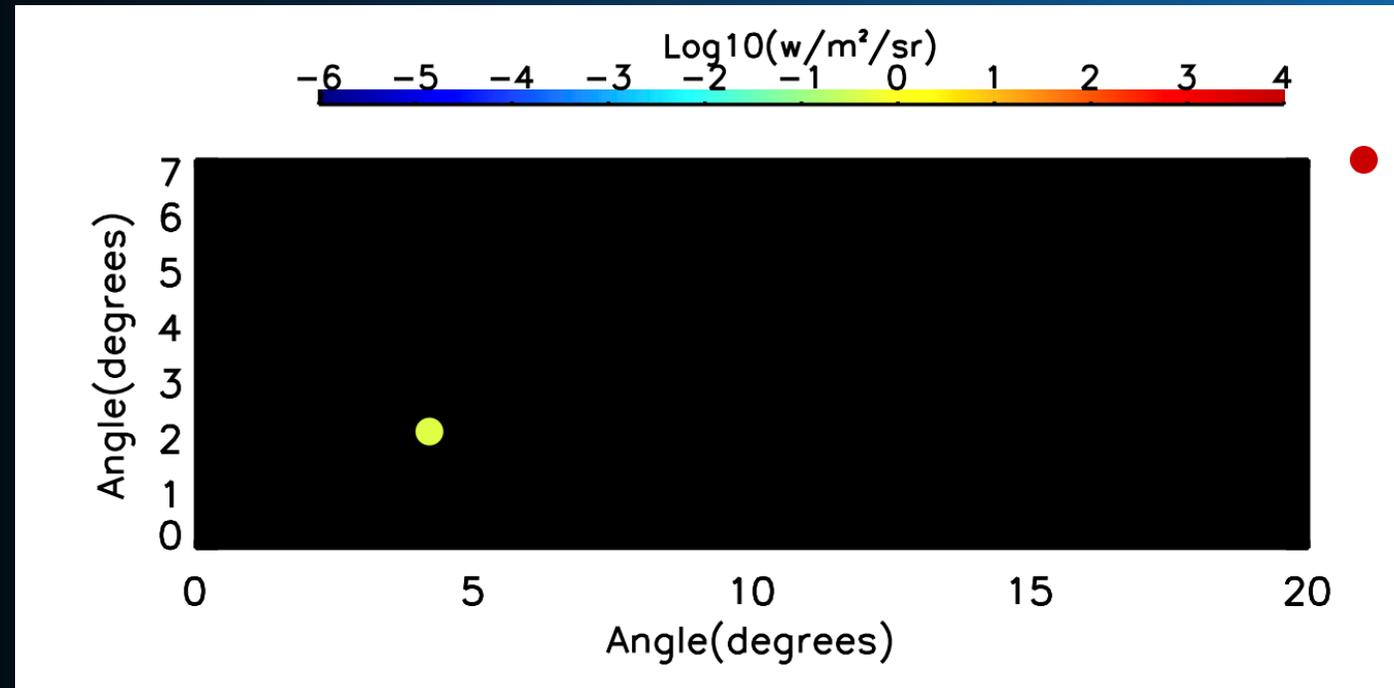
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# Calibrate Stray Light with Space view

## Space view

- Moon and sun in place, without stray light
- ADCS can direct scan
  - Creates calibration data
  - Need not directly observe the sun



## Technology Enablers - Hardware

- ADCS precision and accuracy
  - Profile fidelity-----critical to refraction and dlogR techniques
  - Calibration
    - Solar-glare
    - Lunar-Stray light-diffraction-Off-axis
- Cooling technology (active and passive)
- Downlink bandwidth (coming laser com)
- AR coating and Interference Filter quality
- Star tracker accuracy and precision
- Star maps for TSTAR temperature retrievals
- FPAs
  - S/N
  - Doppler scanning (DWTS HATS)
  - Calibration of stray light (provides full source function)
  - Refraction angle measurement for temperature (patented technique)
  - Simple static imaging systems
- Processors
  - On-board image processing power
  - On-board ADCS operations and orbital calculations



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BRANDYWINE  
PHOTONICS



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# Technology Enablers – Analysis Techniques

- Doppler Scanning Gas Filter (DSGF) measurements (HATS, DWTS) (H\*)
- Limb T & Wind with unitless gas filtered measurements
- Gas cell calibration as data bi-product from limb observations
- High horizontal resolution Temperature from Nadir emission
- Temperature from refraction (bending angle) measurement
- Multi-point celestial tracking (GATS Patent, SO\*)
- GPSRO
- CO<sub>2</sub> from DWTS and TSTAR temperatures and LCER emission
- Stray-light and glare calibration from solar, lunar and limb scans
- dlogR (unitless) analysis of limb emission profiles (L\*)
- Off-axis response (PSF) from lunar scans (SA\*)
- Absolute radiance calibration from limb observations (L\*)
- A priori T from limb sounder for seeding nadir T sounder
- Dual filtering for out-of-band rejection (HI\*)

▪ \*Heritage instrument (L\*-LIMS, H\*-HALOE, SA\*-SABER, SO\*-SOFIE, HI\*-HIRDLS)



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# Summary

- **Global monitoring** of upper atmosphere wind and temperature can now be done **with very simple static imagers**
- The synergy between the imagers will enable a 100% vicarious calibration scheme. **No onboard calibration systems required**
- **Proven analysis techniques, novel new techniques, plus advances in ADCS, FPAs, Cooling Systems, Processors, AR Coating, Interference Filters, telemetry Communication Bandwidth and GPS systems make it possible.**

▪

# Concluding Remarks

- Weather imprints its signature into upper atmosphere at large spatial scales, yet the dynamics remains mostly unobserved
- Simple Imagers, combined with Doppler Scanning Gas Filters, can fill the void.
- Will major forecast improvements follow?  
→ *Evidence suggests it will*
- CUAD could monitor upper atmosphere dynamics (T,P and Wind, cloud-top to 150 km) with an order of magnitude improvement in resolution, latency and cost over past or proposed systems
- A pathfinder mission could be ready within 1 year for \$10M



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